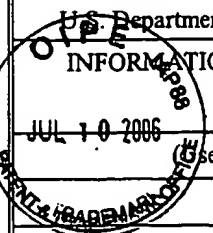


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|--|---|--|----------------------|--|-----------------|--|
|  | U.S. Department of Commerce, Patent and Trademark |  | Atty. Docket No.     |  | Application No. |  |
|  | INFORMATION DISCLOSURE STATEMENT BY APPLICANT     |  | SNDK.006USS          |  | 09/129,675      |  |
|  | (Use several sheets if necessary)                 |  | Applicant(s)         |  | Conf. No.       |  |
|  | (Form PTO-1449)                                   |  | Harari <i>et al.</i> |  | 4949            |  |
|  |   |  | Filing Date          |  | Art Group       |  |
|  |   |  | August 5, 1998       |  | 2824            |  |

## U.S. Patent Documents

| *Examiner Initial | Document Number | Date      | Name     | Class | Subclass | Filing Date If Appropriate |
|-------------------|-----------------|-----------|----------|-------|----------|----------------------------|
| AT                | 1               | 4,890,259 | 12/26/89 | Simko | 365      | 185.03                     |
|                   |                 |           |          |       |          |                            |
|                   |                 |           |          |       |          |                            |
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## U.S. Published Patent Application Documents

| *Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|-----------------|------|------|-------|----------|----------------------------|
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|                   |                 |      |      |       |          |                            |

## Foreign Patent Documents

|  |  |          |      |         |       |          | Translation |    |
|--|--|----------|------|---------|-------|----------|-------------|----|
|  |  | Document | Date | Country | Class | Subclass | Yes         | No |
|  |  |          |      |         |       |          |             |    |
|  |  |          |      |         |       |          |             |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|----|---|---|
| AT | 2 | Prior Art Cited by STMicro re U.S. Patent 5,172,338 – U.S. Patent and Application, 34 pages.  |
| AT | 3 | Prior Art Cited by STMicro re U.S. Patent 5,172,338 – Foreign Patents/Applications; Publications; Data Sheets and Web Pages, 27 pages.                  |
| AT | 4 | Public Version of Complainant SanDisk Corporation's Reply to Respondents' Post-Hearing Brief, Investigation No. 337-TA-526, October 7, 2005, pp 81-128. |
| AT | 5 | Public Version of Complainant SanDisk Corporation's Post-Hearing Brief, Investigation No. 337-TA-526, October 7, 2005, pp. 99-134.                      |

Examiner/Andrew Tran/

Date Considered 12/22/2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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|---|--|--|--|----------------------|--|-----------------|--|
| U.S. Department of Commerce, Patent and Trademark   |  |  |  | Atty. Docket No.     |  | Application No. |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br>(Use several sheets if necessary)<br>(Form PTO-1449) |  |  |  | SNDK.006USS          |  | 09/129,675      |  |
|   |  |  |  | Applicant(s)         |  | Conf. No.       |  |
|   |  |  |  | Harari <i>et al.</i> |  | 4949            |  |
|   |  |  |  | Filing Date          |  | Art Group       |  |
|   |  |  |  | August 5, 1998       |  | 2824            |  |

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| *Examiner Initial     |   | Document Number | Date     | Name            | Class | Subclass | Filing Date If Appropriate |
| AT                    | 1 | 4,698,750       | 10/6/87  | Wilkie et al.   | 365   | 185.04   |                            |
|                       | 2 | 5,222,046       | 6/22/93  | Kreifels et al. | 365   | 185.22   |                            |
|                       | 3 | 5,768,191       | 6/16/98  | Choi et al.     | 365   | 185.22   |                            |
|                       | 4 | 6,118,703       | 9/12/00  | Hamaguchi       | 365   | 185.22   |                            |
|                       | 5 | 6,496,446       | 12/17/02 | Suzuki          | 365   | 238.5    |                            |
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| *Examiner Initial                           |  | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|   |  |                 |      |      |       |          |                            |
|   |  |                 |      |      |       |          |                            |

| Foreign Patent Documents |    |             |          |         |       |          |             |    |
|--------------------------|----|-------------|----------|---------|-------|----------|-------------|----|
|                          |    |             |          |         |       |          | Translation |    |
|                          |    | Document    | Date     | Country | Class | Subclass | Yes         | No |
| AT                       | 7  | JP2-10596   | 1/16/90  | Japan   | G11C  | 16/06    |             | ✓  |
|                          | 8  | JP3-286497  | 12/17/91 | Japan   | G11C  | 16/06    | Abstract    |    |
|                          | 9  | JP4-82090   | 3/16/92  | Japan   | G11C  | 16/06    | Abstract    |    |
|                          | 10 | JP9-106689  | 4/22/97  | Japan   | G11C  | 16/06    | Abstract    |    |
| AT                       | 11 | JP11-176185 | 7/2/99   | Japan   | G11C  | 17/18    | Abstract    |    |

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| Examiner /Andrew Tran/ | Date Considered 12/22/2006 |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

|   |    |  |                            |                        |       |                 |                            |
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| U.S. Department of Commerce, Patent and Trademark   |    |  |                            | Atty. Docket No.       |       | Application No. |                            |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT   |    |  |                            | SNDK.006USS            |       | 09/129,675      |                            |
|   |    |  |                            | Applicants             |       | Conf. No.       |                            |
| (Use several sheets if necessary)   |    |  |                            | Eliyahou Harari et al. |       | 4949            |                            |
| (Form PTO-1449)   |    |  |                            | Filing Date            |       | Art Group       |                            |
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| AT  | 1  | 4,752,871  | 6/21/1988                  | Sparks et al.          | 365   | 185.11          |                            |
| AT  | 2  | 5,136,541  | 8/4/1992                   | Arakawa                | 365   | 185.23          |                            |
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|   |    | Document   | Date                       | Country                | Class | Subclass        | Yes No                     |
| AT  | 3  | JP S 59-121696   | 7/13/1984                  | Japan                  | G11C  | 17/00           | X                          |
| AT  | 4  | JP H62-6493  | 1/13/1987                  | Japan                  | G11C  | 17/00           | X                          |
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| AT  | 6  | David A. Rich, "A Survey of Multivalued Memories," IEEE Transaction of Computers, Vol. C-35, No. 2, February, 1986, pp. 99-106.  |                            |                        |       |                 |                            |
| AT  | 7  | Clinton Kuo et al., "An 80 ns 32K EEPROM Using the FETMOS Cell," IEEE Journal of Solid-State Circuits, Vol. SC-17, No. 5, October, 1982, pp. 821-827.  |                            |                        |       |                 |                            |
| AT  | 8  | Electronic Industries Association, JEDEC Standard, "Configurations for Solid State Memories, JEDEC Standard No. 21-B (Revision of JESD21-A and JESD21-A-1), CS-0336, 337-TA-560, December, 1988, pp. i-36, 39-147.   |                            |                        |       |                 |                            |
| AT  | 9  | "Expert Report of Dr. Richard Pashley Regarding the Invalidity of U.S. Patent No. 5,172,338 and U.S. Patent No. 5,991,517," United States International Trade Commission, Investigation No. 337-TA-560, September 7, 2006, pp. i-387.                                  |                            |                        |       |                 |                            |
| AT  | 10 | "Rebuttal Expert Report of G.R. Mohan Rao, Ph.D. Regarding Validity and Enforceability of U.S. Patent Nos. 5,172,338 and 5,991,517," United States International Trade Commission, Investigation No. 337-TA-560, September 26, 2006, pp. i-245, Exhibit List, 6 pages. |                            |                        |       |                 |                            |
| AT  | 11 | "Addendum to the Rebuttal Expert Report of G.R. Mohan Rao, Ph.D. Regarding Validity and Enforceability of U.S. Patent Nos. 5,172,338 and 5,991,517," United States International Trade Commission, Investigation No. 337-TA-560, September 28, 2006, 5 pages.          |                            |                        |       |                 |                            |
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| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant. |    |  |                            |                        |       |                 |                            |